

040804

22713 U.S. PTO

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UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>	Attorney Docket No.	P-5690
	First Inventor	Hugh T. Conway
	Title	Safety Shielding Needle Assembly/Passive Shielding
	Express Mail Label	EL737588969US

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.	ADDRESS TO: Mail Stop Patent Application Commissioner for Patents P. O. Box 1450 Alexandria VA 22313-1450
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1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing) 2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. 3. <input checked="" type="checkbox"/> Specification [Total Pages 30] (preferred arrangement set forth below) - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 26] 5. Oath or Declaration [Total Pages 2] a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed) i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> Paper c. <input type="checkbox"/> Statements verifying identity of above copies
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ACCOMPANYING APPLICATION PARTS	
9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))	
10. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee)	<input checked="" type="checkbox"/> Power of Attorney
11. <input type="checkbox"/> English Translation Document (if applicable)	
12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449	<input type="checkbox"/> Copies of IDS Citations
13. <input type="checkbox"/> Preliminary Amendment	
14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)	
15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)	
16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.	
17. <input checked="" type="checkbox"/> Other:	

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

Prior application information: Examiner _____ Group / Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS				
<input type="checkbox"/> Customer Number: _____		or <input checked="" type="checkbox"/> Correspondence address below		
Name	David W. Highet, Esq. Becton, Dickinson and Company			
Address	1 Becton Drive			
City	Franklin Lakes	State	NJ	Zip Code 07417
Country	USA	Telephone	201-847-5317	Fax 201-848-9228

Name (Print/Type)	Mark Lindsey	Registration No. (Attorney/Agent)	52,515
Signature	<i>M Lindsey</i>	Date	4/8/04

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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FEE TRANSMITTAL for FY 2004 <i>Effective 10/01/2003. Patent fees are subject to annual revision.</i>		Complete if Known		
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Application Number		
		Filing Date		
		First Named Inventor	Hugh T. Conway	
		Examiner Name		
		Art Unit		
TOTAL AMOUNT OF PAYMENT (\$)		\$894.00	Attorney Docket No.	P-5690

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)					
<input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money <input type="checkbox"/> Other <input type="checkbox"/> None		3. ADDITIONAL FEES					
<input checked="" type="checkbox"/> Deposit Account:		Large Entity Small Entity					
Deposit Account Number: 02-1666		Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
Deposit Account Name: Becton, Dickinson and Company		1051	130	2051	65	Surcharge - late filing fee or oath	
The Director is authorized to: (check all that apply)		1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
<input checked="" type="checkbox"/> Charge fee(s) indicated below <input type="checkbox"/> Credit any overpayments		1053	130	1053	130	Non - English specification	
<input checked="" type="checkbox"/> Charge any additional fee(s) or any underpayment of fee(s)		1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
<input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.		1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
FEE CALCULATION		1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1. BASIC FILING FEE		1251	110	2251	55	Extension for reply within first month	
Large Entity	Small Entity	1252	420	2252	210	Extension for reply within second month	
Fee Code (\$)	Fee Code (\$)	1253	950	2253	475	Extension for reply within third month	
1001 770	2001 385	1254	1,480	2254	740	Extension for reply within fourth month	
1002 340	2002 170	1255	2,010	2255	1,005	Extension for reply within fifth month	
1003 530	2003 265	1401	330	2401	165	Notice of Appeal	
1004 770	2004 385	1402	330	2402	165	Filing a brief in support of an appeal	
1005 160	2005 80	1403	290	2403	145	Request for oral hearing	
SUBTOTAL (1) (\$)		1451	1,510	1451	1,510	Petition to institute a public use proceeding	
\$770.00		1452	110	2452	55	Petition to revive - unavoidable	
2. EXTRA CLAIM FEES FOR UTILITY AND		1453	1,330	2453	665	Petition to revive - unintentional	
Extra Claims		1501	1,330	2501	665	Utility issue fee (or reissue)	
Total Claims	18 - 20** = 0	1502	480	2502	240	Design issue fee	
Independent Claims	4 - 3** = 1	1503	640	2503	320	Plant issue fee	
Multiple Dependent		1460	130	1460	130	Petitions to the Commissioner	
Large Entity	Small Entity	1807	50	1807	50	Processing fee under 37 CFR § 1.17(q)	
Fee Code (\$)	Fee Code (\$)	1806	180	1806	180	Submission of Information Disclosure Statement	
1202 18	2202 9	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	40.00
1201 86	2201 43	1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1203 290	2203 145	1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
1204 86	2204 43	1801	770	2801	385	Request for Continued Examination (RCE)	
1205 18	2205 9	1802	900	1802	900	Request for expedited examination of a design application	
SUBTOTAL (2) (\$)		Other fee (specify)					
\$84.00		SUBTOTAL (3) (\$)				\$40.00	
**or number previously paid, if greater; For Reissues, see above		*Reduced by Basic Filing Fee Paid					

SUBMITTED BY		Complete (if applicable)			
Name	Mark Lindsey	Registration No. (Attorney/Agent)	52,515	Telephone	201-847-6262
Signature	<i>M Lindsey</i>	Date	4/8/04		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**ADDED PAGES FOR APPLICATION TRANSMITTAL WHERE BENEFIT OF
PRIOR U.S. APPLICATION(S) CLAIMED**

NOTE: SEE 37 C.F.R. § 1.78.

17. Relate Back

WARNING: *If an application claims the benefit of the filing date of an earlier filed application under 35 U.S.C. §§ 120, 121 or 365(c), the 20-year term of that application will be based upon the filing date of the earliest U.S. application that the application makes reference to under 35 U.S.C. §§ 120, 121 or 365(c). (35 U.S.C. § 154(a)(2) does not take into account, for the determination of the patent term, any application on which priority is claimed under 35 U.S.C. §§ 119, 365(a) or 365(b). For a c-i-p application, applicant should review whether any claim in the patent that will issue is supported by an earlier application, and, if not, the applicant should consider cancelling the reference to the earlier filed application. The term of a patent is not based on a claim-by-claim approach. See Notice of April 14, 1995, 60 Fed. Reg. 20,195, at 20,205.*

(complete the following, if applicable)

☐ Amend the specification by inserting, before the first line, the following sentence:

A. 35 U.S.C. § 119(e)

NOTE: *"Any nonprovisional application claiming the benefit of one or more prior filed copending provisional applications must contain or be amended to contain in the first sentence of the specification following the title a reference to each such prior provisional application, identifying it as a provisional application, and including the provisional application number (consisting of series code and serial number)". 37 C.F.R., § 1.78(a)(4).*

☒ "This application claims the benefit of U.S. Provisional Application(s) No(s).:

APPLICATION NO(S).:**FILING DATE**

60/463,384

April 16, 2003